

04/20/01

JCS60 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
NEC-F98/USA

J1040 U.S. PTO

09/838185

04/20/01

**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Ikuo SAKAGUCHI

corresponding to Japanese application 124731/2000, filed  
April 25, 2000,**entitled:** CARD UTILIZATION APPROVAL METHOD, CARD SETTLEMENT  
SYSTEM AND CARD AUTHENTICATION AND SETTLEMENT PROCESSING  
DEVICE

## Enclosed are:

<input checked="" type="checkbox"/>	9 pages of specification.
<input checked="" type="checkbox"/>	3 sheets of formal drawings.
<input checked="" type="checkbox"/>	a newly-executed declaration of the inventor.
<input type="checkbox"/>	a copy of an executed declaration of the inventor from prior application Serial No. , filed .
<input type="checkbox"/>	incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accom- panying application and is hereby incorporated by reference therein.
<input checked="" type="checkbox"/>	an assignment of the invention to NEC CORPORATION, including assignment cover sheet.
<input type="checkbox"/>	Information Disclosure Statement with Form PTO-1449.
<input type="checkbox"/>	copies of the Information Disclosure Statement citations.
<input type="checkbox"/>	preliminary amendment.
<input checked="" type="checkbox"/>	return receipt postcard (MPEP 503), specifically itemized.
<input type="checkbox"/>	applicant claims small entity status under 37 CFR 1.27.
<input checked="" type="checkbox"/>	a certified copy of the Japanese Priority Document.
<input checked="" type="checkbox"/>	other: Application Data Sheet.

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

<input checked="" type="checkbox"/>	Customer No. 000466.
<input checked="" type="checkbox"/>	Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202.
<input checked="" type="checkbox"/>	Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(continued)

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**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	7 - 20 =	0	X\$ 18	0
INDEPENDENT CLAIMS	3 - 3 =	0	X\$ 80	0
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	

**TOTAL** \$ 710

If applicant has small entity status under 37  
CFR 1.9 and 1.27, then divide total fee by 2,  
and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

- ☒ A check in the amount of \$750 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over-  
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as  
described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, during  
the pendency of this application.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of  
Allowance.



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April 20, 2001